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DOCKET NO. : BERG-2550/C2719

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Stanley W. Olson  
Joseph B. Shuey

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Herewith

Examiner: Not Yet Assigned

For: ELECTRICAL CONNECTOR WITH STRAIN RELIEF

EXPRESS MAIL LABEL NO: EL531429589US  
DATE OF DEPOSIT: October 19, 2000

Box ☒ Patent Application  
☐ Provisional ☐ Design

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

☐ continuation ☐ divisional ☐ continuation-in-part of prior application number  
\_\_\_\_/\_\_\_\_.

☐ A Provisional Patent Application under 37 C.F.R. 1.53(c).

☐ A Design Patent Application (submitted in duplicate).

Including the following:

☐ Provisional Application Cover Sheet.

☒ New or Revised Specification, including pages 1 to 20 containing:

☒ Specification

☒ Claims

☒ Abstract

☐ Substitute Specification, including Claims and Abstract.

☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.

☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.

☐ A copy of earlier application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_, including Specification, Claims and Abstract (pages 1 - @@), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.

☐ Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section) : "This Application:

☐ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_

- ☐ Signed Statement attached deleting inventor(s) named in the prior application.
- ☐ A Preliminary Amendment.
- ☒ 12 Sheets of ☐ Formal ☒ Informal Drawings.
- ☐ Petition to Accept Photographic Drawings.
- ☐ Petition Fee
- ☒ An ☐ Executed ☒ Unexecuted Declaration or Oath and Power of Attorney.
- ☐ An Associate Power of Attorney.
- ☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to \_\_\_\_\_
- ☐ A Recordation Form Cover Sheet.
- ☐ Recordation Fee - \$40.00.
- ☐ The prior application is assigned of record to \_\_\_\_\_
- ☒ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. 60/160,482 filed October 19, 1999 in U. S. A. (country). In addition, the subject matter disclosed in the herewith application is related to the subject matter disclosed in copending Application Ser. No. \_\_\_\_\_ (Attorney Docket Number BERG-2547/C2648), filed on October 19, 2000.
- ☐ A Certified Copy of each of the above applications for which priority is claimed:
- ☐ is enclosed.
- ☐ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ An ☐ Executed or ☐ Copy of Executed Earlier Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27
- ☐ is enclosed.
- ☐ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, said status is still proper and desired in present case.

said status is still proper and desired in present case.

- ☐ Diskette Containing DNA/Amino Acid Sequence Information.
- ☐ Statement to Support Submission of DNA/Amino Acid Sequence Information.
- ☐ The computer readable form in this application \_\_\_\_\_, is identical with that filed in Application Serial Number \_\_\_\_\_, filed \_\_\_\_\_. In accordance with 37 CFR 1.821(e), please use the ☐ first-filed, ☐ last-filed or ☐ only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is ☐ included in the originally-filed specification of the instant application, ☐ included in a separately filed preliminary amendment for incorporation into the specification.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ A copy of Petition for Extension of Time as filed in the prior case.
- ☐ Appended Material as follows: \_\_\_\_\_ .
- ☒ Return Receipt Postcard (should be specifically itemized).
- ☐ Other as follows: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_ .

**FEE CALCULATION:**

- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

				SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
PROVISIONAL APPLICATION				\$75.00	\$	\$150.00	\$
DESIGN APPLICATION				\$160.00	\$	\$320.00	\$
UTILITY APPLICATIONS BASE FEE				\$355.00	\$	\$710.00	\$710.00
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS							
	No. Filed	No. Extra					
TOTAL CLAIMS	32-20 =	12		\$9 each	\$	\$18 each	\$216.00
INDEP. CLAIMS	6-3 =	3		\$40 each	\$	\$80 each	\$240.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				\$135	\$	\$270	\$
ADDITIONAL FILING FEE					\$		\$
TOTAL FILING FEE DUE					\$		\$1,166.00

- ☒ A Check is enclosed in the amount of \$ 1,166.00.
- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The foregoing amount due.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- ☐ The issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or

any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: **October 19, 2000**

  
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## ELECTRICAL CONNECTOR WITH STRAIN RELIEF

### Cross-Reference to Related Applications

5           This application claims priority under 35 U.S.C. § 119(e) to U.S. Provisional Patent Applications Serial No. 60/160,482, which was filed on October 19, 1999. In addition, the subject matter disclosed herein is related to the subject matter disclosed in copending Application Ser. No. \_\_\_\_\_ (Attorney Docket Number C2648/BERG-2547), filed on October 19, 2000. Both applications are herein incorporated by reference.

### Background of the Invention

#### 1. Field of the Invention

15           The invention relates to electrical connectors. More specifically, the invention relates to electrical connectors with strain relief features.

#### 2. Brief Description of Earlier Developments

20           Various types of electrical connectors rely upon surface mount technology (SMT) to secure the connector's contacts to an underlying substrate. SMT connectors provide numerous benefits over earlier connectors, such as simplified manufacturing and lower costs.

25           While providing such advantages, the use of SMT may raise other issues. One concern, for example, involves the ability of the solder joint between the contact and the underlying substrate to absorb forces caused by, for example, shipping, handling, mating and thermal cycling. Should one solder joint become unusable as a result of damage from any of these events, the entire connector adversely may be affected.

          Ball grid array (BGA) technology is one type of SMT. Generally speaking, an

electrical connector using a BGA has a housing with a contact therein. A fusible element, typically a solder ball, secures to each contact. The solder balls serve as the primary connection between the contact and the surface of the substrate. A reflow process fuses the solder ball to the substrate. During the reflow process, a beneficial “self-centering” feature of BGA technology occurs. Specifically, as the solder reflows, the surface tension of the solder helps to align the connector properly with the conductive pads on the underlying substrate.

As with SMT connectors, forces on the solder joint in a BGA connector also poses a concern. Because of the self-centering ability of BGA connectors, however, many of the solutions used in SMT connectors cannot be used on BGA connectors. Therefore, a need exists to develop techniques for providing strain relief to BGA connectors.

### **Summary of the Invention**

The invention overcomes the above-mentioned limitations in the earlier developments and provides techniques for providing strain relief to BGA connectors. In particular, the invention provides a connector body with a retention post that may be inserted into a through hole in a printed circuit board (PCB). The post fits in the through hole without an interference fit. The post diverts some of the forces acting on the solder joint between the contacts and the PCB pads by allowing the connector body and the PCB to absorb some of the forces.

It is an object of the invention to provide an electrical connector with strain relief features.

It is a further object of the invention to provide a ball grid array electrical connector with strain relief features.

It is a further object of the invention to provide strain relief features to a ball grid array electrical connector compatible with the self-centering capability of the connector.

It is a further object of the invention to provide an electrical connector made with simplified manufacturing steps.

These and other objects of the invention are achieved in one aspect of the invention



by an electrical connector mountable to a substrate. The electrical connector comprises a housing, a surface mount contact secured to the housing and adapted to surface mount to the substrate, and a non-surface mount hold down secured to the housing and adapted to mount to the substrate. The surface mount contact includes a fusible element, for  
5 example, a solder ball, a plurality of which may form a matrix array. The electrical connector is constructed such that it remains substantially parallel when mounted to the substrate. The electrical connector may further comprise a standoff secured to the housing. The standoff is adapted to retain the housing a distance from a surface of the substrate or to limit flattening of a solder joint between the surface mount contact and the  
10 substrate. The standoff may be a part of the hold-down. The non-surface mount hold down of the electrical connector may be a post extending outwardly from the housing and is adapted to enter a hole in the substrate.

These and other objects of the invention are achieved in another aspect of the invention by a ball grid array connector mountable to a substrate. The ball grid array  
15 comprises a housing and a plurality of contacts within the housing. The ball grid array further comprises a plurality of fusible elements secured to the contacts for mounting the connector to the substrate, and a hold down adapted to enter the substrate. The hold down is secured to the housing. The ball grid array connector may further comprise a standoff extending from the housing and adapted to retain the housing a distance from a surface of  
20 the substrate or to limit flattening of the fusible elements during reflow. The standoff may be a part of the hold-down. The hold down may be a post extending outwardly from the housing. The fusible elements may be solder balls. Furthermore, the ball grid array connector may be constructed such that it remains substantially parallel when mounted to the substrate.

25 These and other objects of the invention are achieved in another aspect of the invention by a method of mounting an electrical connector to a substrate. The method comprises providing a substrate, and an electrical connector having a contact and a hold down. The electrical connector may be a ball grid array connector. The method further comprises securing the contact to the substrate, placing the hold down into the substrate,  
30 and securing the hold down to the substrate. The securing may comprise soldering the

hold down to the substrate. The method may further comprise constructing the electrical connector such that it remains substantially parallel when mounted to the substrate. Also, the method may comprise balancing the electrical connector on the substrate such that the electrical connector remains substantially parallel to the substrate during the securing.

5 Furthermore, the securing of the contact may occur before the securing of the hold down.

These and other objects of the invention are achieved in another aspect of the invention by a method of preventing the skewing of an electrical connector when being mounted to a substrate. The method comprises providing an electrical connector having a first part with a mass greater than a second part, and balancing the first and second parts of the electrical connector such that the electrical connector remains substantially parallel to the substrate when mounting to the substrate. The balancing may comprise removing material from the first part of the electrical connector and/or adding material to the second part of the electrical connector. The electrical connector may be a ball grid array connector.

15 These and other objects of the invention are achieved in another aspect of the invention by an electrical connector mountable to a substrate. The electrical connector comprises a housing having a mounting end facing the substrate, and a plurality of contacts secured to the housing. The electrical connector further comprises a plurality of fusible elements, each secured to a respective one of the plurality of contacts, and a standoff extending a distance from the mounting end of the housing. The standoff may allow partial flattening of the fusible elements. The distance may be selected so as to limit flattening of the fusible elements during reflow, or to prevent bridging between adjacent fusible elements. The fusible elements may be, for example, solder balls.

25 These and other objects of the invention are achieved in another aspect of the invention by an improved ball grid array connector mountable to a substrate. The improvement comprises a hold-down adapted to enter an opening in the substrate. The hold-down may be adapted to enter the opening without an interference fit.

**Brief Description of the Drawings**

Other uses and advantages of the invention will become apparent to those skilled in the art upon reference to the specification and the drawings, in which:

Figure 1 is an exploded, top perspective view of a first alternative embodiment of the invention;

Figure 2A is a bottom, perspective view of the electrical connector in Figure 1;

Figure 2B is a bottom, perspective view of an alternative embodiment of the electrical connector in Figure 1;

Figure 3 is a partial cut-away view of the electrical connector in Figure 1;

Figure 4 is a top perspective view of a second alternative embodiment of the invention;

Figure 5 is a bottom view of the electrical connector in Figure 4;

Figure 6A is a bottom perspective view of a third alternative embodiment of the invention;

Figure 6B is a partial cut-away view of the electrical connector in Figure 6;

Figure 7 is a perspective view of an electrical connector modified to ensure that the connector remains substantially parallel to the substrate during a reflow process, according to the invention; and

Figures 8A-8B show a portion of a substrate so as to illustrate the self-centering characteristics of the inventive connector.

### **Detailed Description of the Preferred Embodiments**

Each of the alternative embodiments described herein relate to surface mounted electrical connectors having strain relief features. Preferably, fusible elements, such as solder balls, secure the contacts to conductive elements on the substrate using ball grid array (BGA) technology. Because BGA connectors tend to precisely align relative to the conductive pads on the substrate during reflow (known as the “self-centering”), the strain relief features discussed herein preferably do not interfere with this desirable characteristic. An intrusive reflow is preferably used to secure the strain relief to the substrate. “Intrusive” refers to the placement of fusible material (*e.g.*, solder paste) within an opening in the substrate (*e.g.*, plated through hole). Each alternative embodiment will now be described in more detail below.

Figures 1-3 display electrical connector 300. Connector 300 is a backplane header connector that preferably mates with a backplane receptacle connector (as shown in Figure 5). Connector 300 can be used in a backplane system, for example, to connect a daughtercard to a motherboard.

Connector 300 uses many of the features described in U.S. Patent Application serial number 09/302,027, herein incorporated by reference. Accordingly, only a brief discussion of certain features of connector 300 is necessary for an understanding of the invention.

Connector 300 includes an insulative housing 301 with apertures 303 therethrough that accept signal contacts 305, ground contacts 307 and ground shields 309. The mating ends of signal contacts 305 and ground contacts 307 extend through housing 301 and correspond to the arrangement of lead-in apertures in a mating connector (as shown in Figure 4). Ground shields 309 preferably remain within housing 301, engage ground contacts 307 and act to surround signal contacts 305 in a differential pairing arrangement.

Connector 300 surface mounts to a substrate 325, preferably using the BGA technology discussed in International Publication number WO 98/15991. This aspect of the invention differs from the through hole mounting of the contacts described in U.S. Patent Application serial number 09/302,027.

In one possible manner of surface mounting, connector 300 could use a wafer 311

that latches to housing 301. Wafer 311 could have latch arms 313 that engage suitable latch structure 315 on housing 301. In addition, wafer 311 has apertures 317 therethrough corresponding to the locations of contacts 305, 307. Specifically, the distal ends of contacts 305, 307 extend through apertures 317. The distal ends of contacts 305, 307 preferably reside within apertures 317, but could extend beyond apertures 317.

In a manner similar to that described in International Publication number WO 98/15991, pockets on the bottom surface of wafer 311 can receive solder paste (not shown) provided during a squeegee operation. Thereafter, the pockets now filled with solder paste can receive, and temporarily retain, a fusible element 321. A reflow operation then fuses solder balls 321 to contacts 305, 307. Any other manner of securing fusible elements 319 to contacts 305, 307 could be used, however.

Figure 2B provides an alternative embodiment of connector 300. As shown in Figure 2B, housing 301' of connector 300' is a single continuous structure. This is to be distinguished from connector 300, as shown in Figure 2A, where wafer 311 is shown as a separate portion of housing 301.

Connector 300 can mount to substrate 325 having an array of conductive pads 327 connected to suitable traces (not shown) to transmit signals or for grounding purposes, for example. Pads 327 correspond to the array of fusible elements 321 secured to contacts 305, 307 on connector 300. As an alternative, pads 327 could also be vias.

A reflow process, typically subsequent to the reflow process that fused solder balls 321 to contacts 305, 307, fuses solder balls 321 to pads 327. Typically, the pads have solder paste 326 thereon to accept and to temporarily secure solder balls 321 to substrate 325. As described earlier, a squeegee drawn across a stencil (not shown) placed on the substrate provides suitable amounts of solder paste at desired locations. The reflow process fuses solder ball 321 to pad 327 on substrate 325, thus creating an electrical path between contacts 305, 307 and substrate 325.

Due to the mechanical loading requirements and durability requirement of backplane connectors, connector 300 may require strain relief features to protect the solder joints formed by solder balls 321. Connector 300 may use intrusively reflowed hold downs. Housing 301 includes posts 323 adjacent to the four corners, or at any other

suitable location.

When assembled, posts 323 extend past wafer 311 and reside within through holes 328 in substrate 325. Preferably, posts 323 are made from a suitable solderable material, such as a metal or a metallized plastic. Significantly, the diameter of post 323 is smaller than the diameter of plated through hole 328 that receives post 323. Stated differently, posts 323 are generally unrestrained within through holes 328 before the reflow step. This allows solder balls 321 to self-center upon reflow without interference. Despite the ability of posts 323 to move within through holes 328, posts 323 do, however, provide rough alignment and guidance when placing connector 300 on substrate 325.

The reflow process used to secure solder balls 321 to substrate 325 preferably also secures posts 323 to through hole 328 in substrate 325. As with conductive pads 327, through holes 328 receive solder paste 329 during the squeegee operation. The reflow process fuses posts 323 to substrate 325.

Posts 323 serve as the strain relief for connector 300. Despite being an intrusive hold down, posts 323 allow solder balls 321 to self-center during reflow. Prior to the reflow process, posts 323 extend into solder paste-filled through holes 328, while solder balls 321 rest upon solder paste 326 on conductive pads 327. During the heating stage of the reflow process, solder paste 326 tends to liquefy before solder balls 321.

While liquid, solder balls 321 will self-center relative to conductive pads 327 on substrate 325. Posts 323, being smaller than through holes 328, allows movement of connector 300 without interference.

At the end of the reflow process, posts 323 tend to cool more slowly than solder balls 321. As a result, the solder in this area stays liquid longer. This allows the benefit of an intrusive hold down, while retaining the self-centering characteristic of solder balls 321.

Figure 3 is a partial cut-away view of connector 300 providing greater detail of the construction and application of post 323. As shown in Figure 3, post 323 is fixedly attached to connector 300, such as by placing a knurled section of post 323 into an opening in housing 301 after stenciling the solder paste. As connector 301 is placed upon substrate 325, post 323 passes into through hole 328 in substrate 325. Also, solder balls

321 align with conductive traces 327 on substrate 325. Solder balls 321 rest on solder paste 326 placed on conductive traces 327. Similarly, post 323 resides within solder paste 329 located in through hole 328. As the connector system is heated, solder balls 321 liquify and electrically couple to conductive pads 327, and post 323 attaches to the interior of through hole 328.

The system also may be designed such that post 323 secures to through hole 328 after solder balls 321 fuses to conductive pads 327. In this way, post 323 also will provide strain relief to the connector system without inhibiting the self-centering characteristics of the BGA connector. The diameters of post 323 and through hole 328 are sized and toleranced so as to reduce any interference with the self-centering action of the BGA attachment techniques, while also ensuring that solder balls 321 initially engage at least a portion of solder pad 327. Also, the protrusion of post 323 into through hole 328 is such that optimum fillets will be formed inside and above through hole 328 without restricting the self-centering action. Post 323 is sized such that a significant amount of solder paste 329 will not be forced out of through hole 328 during the mounting process. For example, Figure 3 shows that post 323 extends approximately halfway into through hole 328.

Figures 4 and 5 display electrical connector 400. Receptacle backplane connector 400 uses many of the features described in U.S. Patent No. 6,116,926, herein incorporated by reference. Because a detailed discussion of many of the features of connector 400 are unnecessary for an understanding of the invention, only a brief summary of these features follows.

Connector 400 is modular, formed by a series of sub-assemblies 401. Rear insulative housing 403 and front insulative housing 405 can latch together and surround sub-assemblies 401 to form connector 400. Front housing 405 includes lead-in openings 407 that accept conductive contacts 305, 307 from mating connector 300 (as shown in Figure 1). As shown, openings 407 form a differential pair arrangement.

Sub-assemblies 401 contain the ground and signal contacts (not shown). The ground and signal contacts mate with corresponding ground contacts 307 and signal contacts 305 of mating connector 300 (as shown in Figure 1). Differently than shown in U.S. Patent No. 6,116,926, the contacts of connector 400 surface mount to a substrate (not

shown).

In one possible manner of surface mounting, connector 400 could use a wafer 411 that latches to housing 401. Wafer 411 could have latch arms (not shown) that engage suitable latch structure (not shown) on housing 401. In addition, wafer 411 has apertures 413 therethrough corresponding to the locations of the contacts. Specifically, the distal ends of the contacts extend through apertures 413. The distal ends of the contacts preferably reside within apertures 413, but could extend beyond apertures 413.

In a manner similar to that described in International Publication number WO 98/15991, apertures 413 can receive solder paste (not shown) provided during a squeegee operation. Thereafter, apertures 413, now filled with solder paste, can receive and temporarily retain a fusible element 409. A reflow operation then fuses solder balls 409 to the contacts. Any other manner of securing fusible elements 409 to the contacts could be used, however.

As with the earlier embodiments, connector 400 can mount to a substrate (not shown) having an array of conductive pads (not shown) connected to suitable traces (not shown) to transmit signals or for grounding purposes, for example. The pads correspond to the array of fusible elements 409 secured to the contacts on connector 400. As an alternative, the pads could also be vias.

A reflow process, typically subsequent to the reflow process that fused solder balls 409 to the contacts, fuses solder balls 409 to the pads. Typically, the pads have solder paste (not shown) thereon to accept and to temporarily secure solder balls 409 to the substrate. As described earlier, a squeegee drawn across a stencil (not shown) placed on the substrate provides suitable amounts of solder paste at desired locations. The reflow process fuses solder ball 409 to the pad on the substrate, thus creating an electrical path between the contacts and the substrate.

As with connector 300, connector 400 may require strain relief features to protect the solder joints formed between the contacts and the pads on the substrate. As with connector 300, connector 400 utilizes intrusive, solderable hold downs. Housing 401 can include posts 415 adjacent the four corners, or any other suitable location. When assembled, posts 415 extend past wafer 411 and reside within through holes (not shown)



in the substrate. Preferably, posts 415 are made from a suitable solderable material such as metal or metallized plastic. Significantly, the diameter of posts 415 is smaller than the diameter of the through hole. Stated differently, posts 415 generally are unrestrained within the through holes prior to reflow. As discussed below, this allows solder balls 409 to self-center upon reflow without interference. Despite the ability of posts 415 to move within the through holes, posts 415 do, however, provide rough alignment and guidance when placing connector 400 on the substrate. In fact, posts 415 and PCB through holes are sized to ensure that solder balls 409 initially engage at least a portion of the solder pad.

The reflow process used to secure solder balls 409 to the substrate preferably also secures posts 415 to the through hole in the substrate. As with the conductive pads, the through holes receive solder paste during the squeegee operation. The reflow process fuses posts 415 to the substrate.

Posts 415 serve as the strain relief for connector 400. Despite being an intrusive hold down, posts 415 allow solder balls 409 to self-center during reflow. Prior to the reflow process, posts 415 extend into solder paste-filled through holes, while solder balls 409 rest upon solder paste on the conductive pads. During the heating stage of the reflow process, the solder paste tends to liquefy before solder balls 409.

While liquid, solder balls 409 will self-center relative to the conductive pads on the substrate. Posts 415, being smaller than the through holes, allows movement of connector 400 without interference.

At the end of the reflow process, posts 415 tend to cool more slowly solder balls 409. As a result, the solder in this area stays liquid longer. This allows the benefit of an intrusive hold down, while retaining the self-centering characteristic of solder balls 409.

Figures 6A and 6B display electrical connector 500. In particular, Figure 6A provides a bottom perspective view of electrical connector 500, and Figure 6B provides a partial cut-away view of the connector. Connector 500, while generally similar to connector 300, is preferably used in situations, for example, where the weight of connector 500 may flatten solder balls 521 and cause bridging between adjacent solder balls 521.

Accordingly, housing 501 of connector 500 can include a retention post 525 in addition to, or as a substitute for, posts 523. Differently than posts 523, post 525 has a

shoulder 526 that cannot enter plated through hole 528. Shoulder 526 keeps connector 500 from substrate 527 when solder balls 521 liquefy to prevent bridging. In other words, a suitable post 525 acts as a standoff and prevents solder balls 521 from being flattened by the weight of the connector 500. As with posts 523, post 525 can be made from a solderable material. Preferably, shoulder 526 allows some flattening of the ball (*e.g., up to approximately 40 percent and preferably approximately 30 percent*) to ensure a proper solder joint with PCB pad. Shoulder 526 also can prevent skewing of connector 500 on substrate 527, caused, for example, by a connector that is not uniformly balanced. The distal end of post 525 can enter plated through hole 528 and serve as a hold-down.

Figure 7 is another example of how the invention ensures that the BGA connector remains substantially parallel to the substrate during reflow. As discussed with reference to connectors 300, 400 and 500, the BGA connector is attached to the substrate by heating the solder balls until the solder melts and becomes fused to the conductive traces of the substrate. The surface tension of the solder centers the connector on the traces of the substrate. In situations where the connector design requires an arrangement where the weight of the connector is not uniformly balanced, the connector may become skewed with respect to the substrate during the reflow process. During reflow, a heavier portion of the connector could “flatten” the solder balls thereunder more so than the lighter portion. As a result, certain of the solder balls may not make proper contact with the substrate, possibly causing the solder joint to fail under a less than nominal mechanical force. Also, adjacent collapsed solder balls could bridge. The invention ensures that the BGA connector remains substantially parallel to the substrate during reflow.

As shown in Figure 7, portions of connector 701 (shown dashed for purposes of clarity) may be added and/or removed to allow the mass of connector 701 to be evenly balanced over the ball grid array. In particular, a portion 702 may be removed from a heavier section of housing 701. Portions 703 and 704 may be added to lighter sections of housing 701. Although Figure 7 shows portions 702-704 in certain locations, it should be appreciated that the location, as well as the size and weight of portions 702-704 will vary depending upon the physical characteristics of connector 701.

Although Figure 7 illustrates balancing connector 701 on the substrate by modifying the physical characteristics of the connector, it should be appreciated that the invention is not so limited. The invention may accomplish such balancing using a number of techniques. For example, an external force may be applied to certain areas of connector 701 during the reflow process. The magnitude of such a force would be determined so as to overcome the skewed relation of connector 701 and the substrate, caused by the imbalance of the connector over the ball grid array. In another embodiment, a similar force may be applied to the substrate, in addition to or instead of the connector. Therefore, the invention includes any technique that overcomes the inherent imbalance of the connector over its ball grid array, and allows the connector to be substantially parallel with the attached substrate after reflow.

Figures 8A-8C show a portion of a substrate 800, and illustrate the self-centering characteristics of the inventive connector during the reflow process. First, Figure 8A shows substrate 800 without a connector soldered thereto. Substrate 800 includes plated through hole 801, solder pads 802, and conductive traces 805 (shown, for clarity, as only extending from certain pads 802). Solder pad 802 is adapted to receive a fusible element on a connector (*e.g.*, as shown in Figure 3), and conductive path 805 carries a signal along substrate 800. Through hole 801 is adapted to receive a hold down on the connector.

Figure 8B illustrates the next step in the process, namely the positioning of the connector with solder balls 803 and hold downs 804 (shown dashed for clarity) on top of substrate 800. As shown in Figure 8B, connector is positioned in a worst-case scenario with respect to substrate 800, such that solder balls 803 and hold downs 804 are furthest from the center of solder pads 802 and through hole 801, respectively. Figure 8C illustrates the next step in the process, namely the reflow of solder balls 803 and the solder paste in the through holes that receive and hold downs 804. As shown in Figure 8C, although solder balls 803 and hold downs 804 initially were positioned in a worst case scenario (as shown in Figure 8B), the self-centering characteristic of the connector, moves solder balls 803 and hold downs 804 such that they are centered over solder pads 802 and within through hole 801, respectively. Therefore, regardless of the initial positioning of the connector over substrate 800, the self-centering characteristics of the reflow process

permit solder balls 802 and hold downs 804 to center over solder pads 802 and within through hole 801, respectively.

While the invention has been described in connection with the preferred embodiments of the various figures, it is to be understood that other similar embodiments may be used or modifications and additions may be made to the described embodiment for performing the same function of the invention without deviating therefrom. Therefore, the invention should not be limited to any single embodiment, but rather construed in breadth and scope in accordance with the recitation of the appended claims.

**WHAT IS CLAIMED IS:**

1. An electrical connector, mountable to a substrate and comprising:
  - a housing;
  - a surface mount contact secured to said housing and adapted to surface mount to the substrate; and
  - a non-surface mount hold down secured to said housing and adapted to mount to the substrate.
2. The electrical connector as recited in claim 1, wherein said surface mount contact includes a fusible element.
3. The electrical connector as recited in claim 2, wherein said fusible element is a solder ball.
4. The electrical connector as recited in claim 1, wherein said electrical connector is constructed such that it remains substantially parallel when mounted to the substrate.
5. The electrical connector as recited in claim 1, wherein a plurality of said surface mount contacts form a matrix array.
6. The electrical connector as recited in claim 1, further comprising a standoff secured to said housing, wherein said standoff is adapted to retain said housing a distance from a surface of the substrate or to limit flattening of a solder joint between said surface mount contact and the substrate.
7. The electrical connector as recited in claim 6, wherein said standoff is part of said hold-down.

8. The electrical connector as recited in claim 1, wherein said non-surface mount hold down is a post extending outwardly from said housing and is adapted to enter a hole in the substrate.
9. A ball grid array connector mountable to a substrate, comprising:
  - a housing;
  - a plurality of contacts within said housing;
  - a plurality of fusible elements secured to said contacts for mounting said connector to the substrate; and
  - a hold down adapted to enter the substrate, wherein said hold down is secured to said housing.
10. The ball grid array connector as recited in claim 9, further comprising a standoff extending from said housing and adapted to retain said housing a distance from a surface of the substrate or to limit flattening of said fusible elements during reflow.
11. The ball grid array connector as recited in claim 10, wherein said standoff is part of said hold-down.
12. The ball grid array connector as recited in claim 9, wherein said fusible elements are solder balls.
13. The ball grid array connector as recited in claim 9, wherein said ball grid array connector is constructed such that it remains substantially parallel when mounted to the substrate.
14. The ball grid array connector as recited in claim 9, wherein said hold down is a post extending outwardly from said housing.
15. A method of mounting an electrical connector to a substrate, comprising:

providing an electrical connector having a contact and a hold down;  
providing a substrate;  
securing said contact to said substrate;  
placing said hold down into said substrate; and  
securing said hold down to said substrate.

16. The method as recited in claim 15, wherein said securing comprises soldering said hold down to said substrate.
17. The method as recited in claim 15, further comprising constructing said electrical connector such that it remains substantially parallel when mounted to said substrate.
18. The method as recited in claim 15, further comprising balancing said electrical connector on said substrate such that said electrical connector remains substantially parallel to said substrate during said securing.
19. The method as recited in claim 15, wherein said electrical connector is a ball grid array connector.
20. The method as recited in claim 15, wherein said securing said contact occurs before said securing said hold down.
21. A method of preventing the skewing of an electrical connector when being mounted to a substrate, comprising:
  - providing an electrical connector having a first part with a mass greater than a second part; and
  - balancing said first and second parts of said electrical connector such that said electrical connector remains substantially parallel to said substrate when mounting to the substrate.

22. The method of claim 21, wherein said balancing comprises removing material from said first part of said electrical connector.
23. The method of claim 21, wherein said balancing comprises adding material to said second part of said electrical connector.
24. The method of claim 21, wherein said connector is a ball grid array connector.
25. An electrical connector mountable to a substrate, comprising:  
a housing having a mounting end facing the substrate;  
a plurality of contacts secured to said housing;  
a plurality of fusible elements, each secured to a respective one of said plurality of contacts; and  
a standoff extending a distance from said mounting end of said housing;  
wherein said distance is selected to limit flattening of said fusible elements during reflow.
26. The electrical connector as recited in claim 25, wherein said distance is selected to prevent bridging between adjacent fusible elements.
27. The electrical connector as recited in claim 25, wherein said fusible elements are solder balls.
28. The electrical connector as recited in claim 25, wherein said standoff allows partial flattening of said fusible elements.
29. The electrical connector as recited in claim 28, wherein said distance allows up to approximately 40 percent flattening.

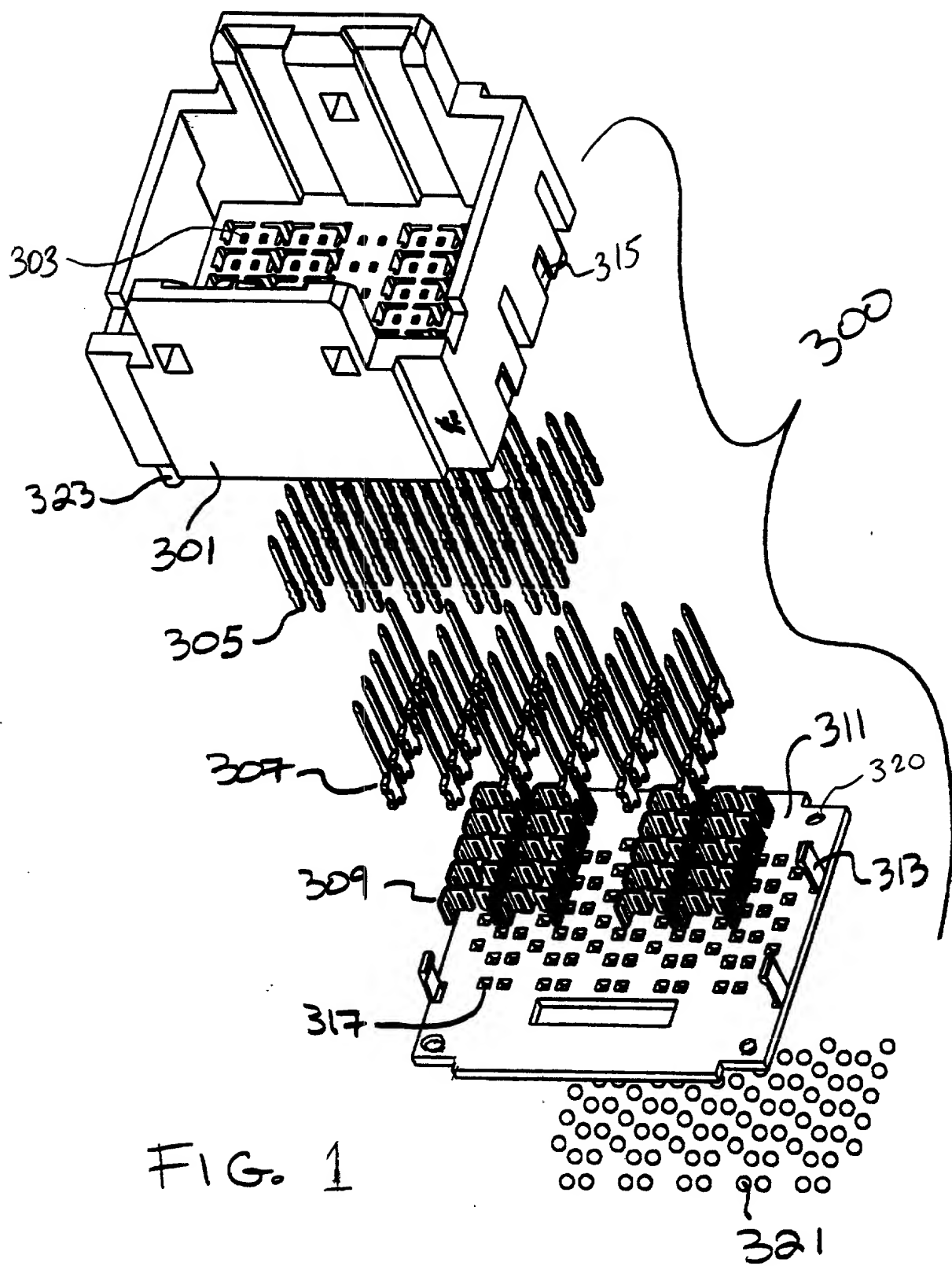


30. The electrical connector as recited in claim 28, wherein said distance allows approximately 30 percent flattening.
31. In a ball grid array connector mountable to a substrate, wherein the improvement comprises a hold-down adapted to enter an opening in the substrate.
32. The ball grid array connector as recited in claim 31, wherein said hold-down is adapted to enter the opening without an interference fit.

BERG-2550/C2719

### Abstract

An electrical connector mountable to a substrate. The electrical connector comprises a housing, a surface mount contact secured to the housing and adapted to surface mount to the substrate, and a non-surface mount hold down secured to the housing and adapted to mount to the substrate. The surface mount contact includes a fusible element, for example, a solder ball, a plurality of which may form a matrix array. The connector may be a ball grid array connector. A method of mounting an electrical connector to a substrate. The method comprises providing a substrate, and an electrical connector having a contact and a hold down. The method further comprises securing the contact to the substrate, placing the hold down into the substrate, and securing the hold down to the substrate. A method of preventing the skewing of an electrical connector when being mounted to a substrate. The method comprises providing an electrical connector having a first part with a mass greater than a second part, and balancing the first and second parts of the electrical connector such that the electrical connector remains substantially parallel to the substrate when mounting to the substrate. An electrical connector mountable to a substrate. The electrical connector comprises a housing having a mounting end facing the substrate, and a plurality of contacts secured to the housing. The electrical connector further comprises a plurality of fusible elements, each secured to a respective one of the plurality of contacts, and a standoff extending a distance from the mounting end of the housing. An improved ball grid array connector mountable to a substrate. The improvement comprises a hold-down adapted to enter an opening in the substrate. The hold-down may be adapted to enter the opening without an interference fit.



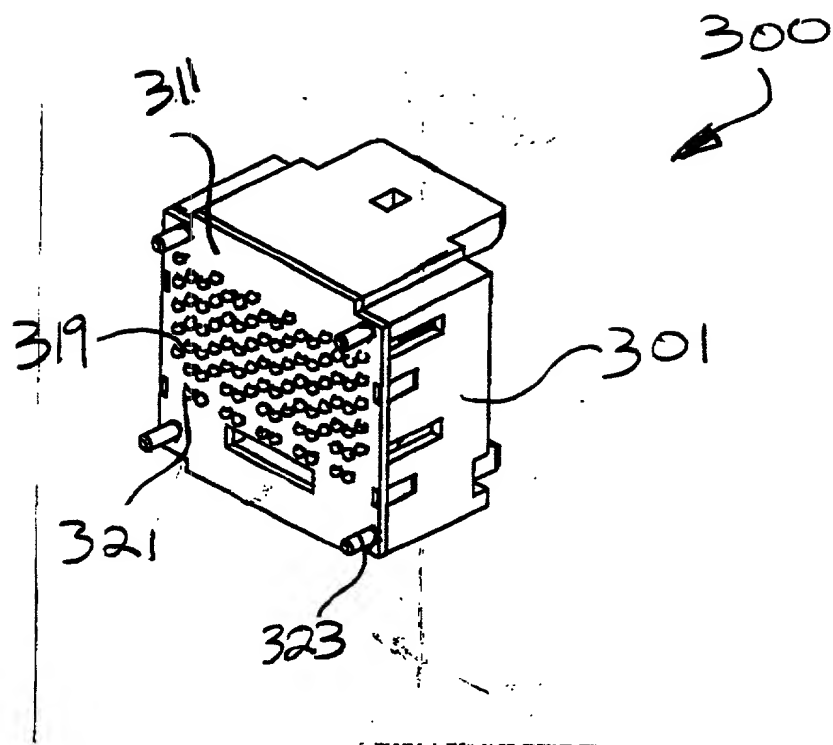


FIG. 2A

FIG. 2B is a perspective view of the device 300 in an open position. The device 300 includes a base 301 and a lid 302. The base 301 is formed with a plurality of openings 303. The lid 302 is formed with a plurality of protrusions 304. The device 300 is configured to receive a sample 305 in the base 301. The sample 305 is held in place by the protrusions 304 of the lid 302. The device 300 is configured to analyze the sample 305.

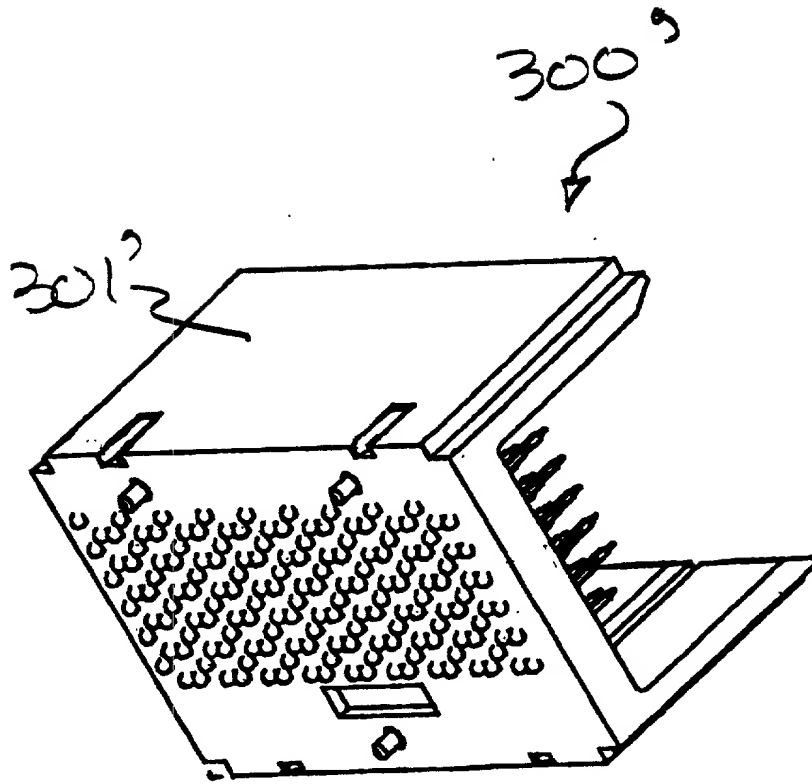


FIG. 2B

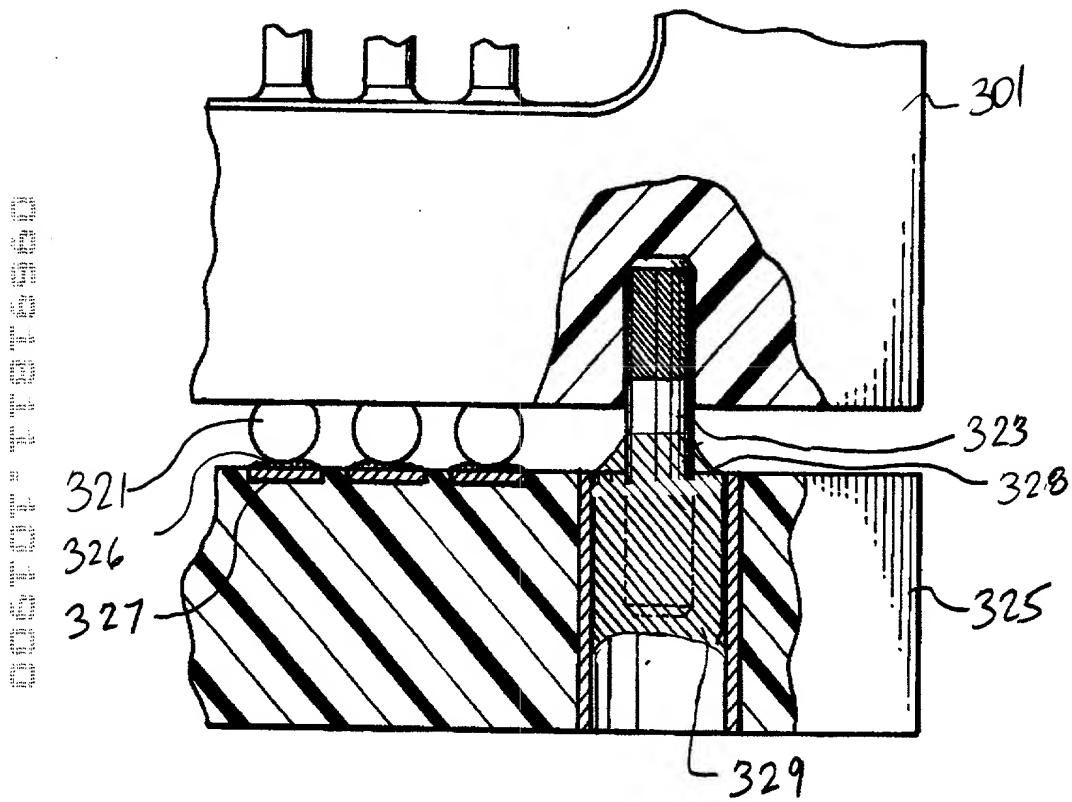


FIG. 3

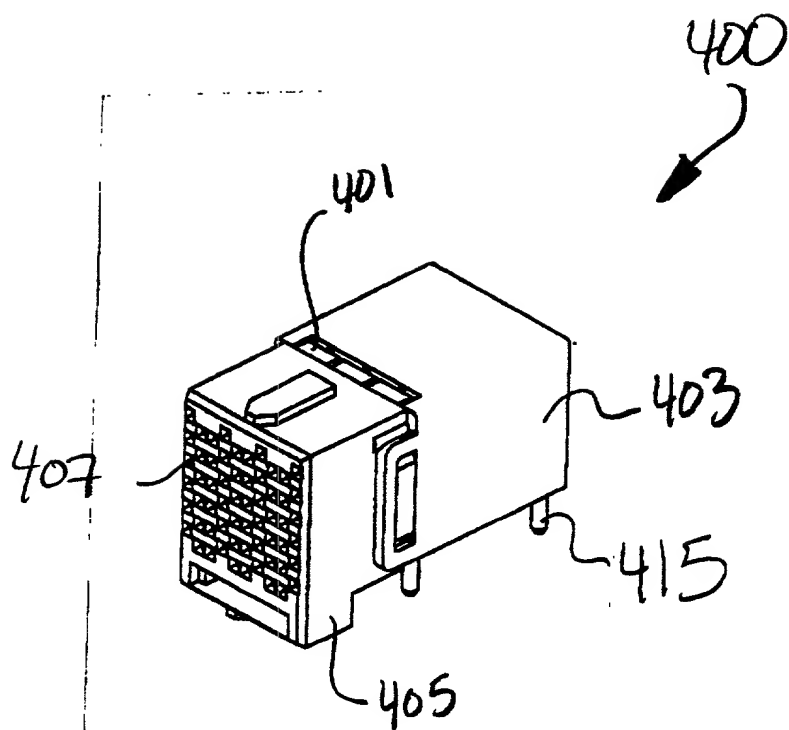


FIG. 4

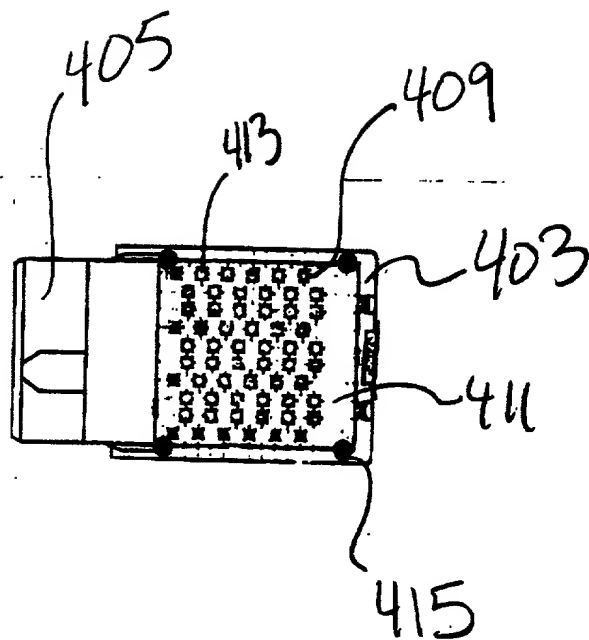


FIG. 5



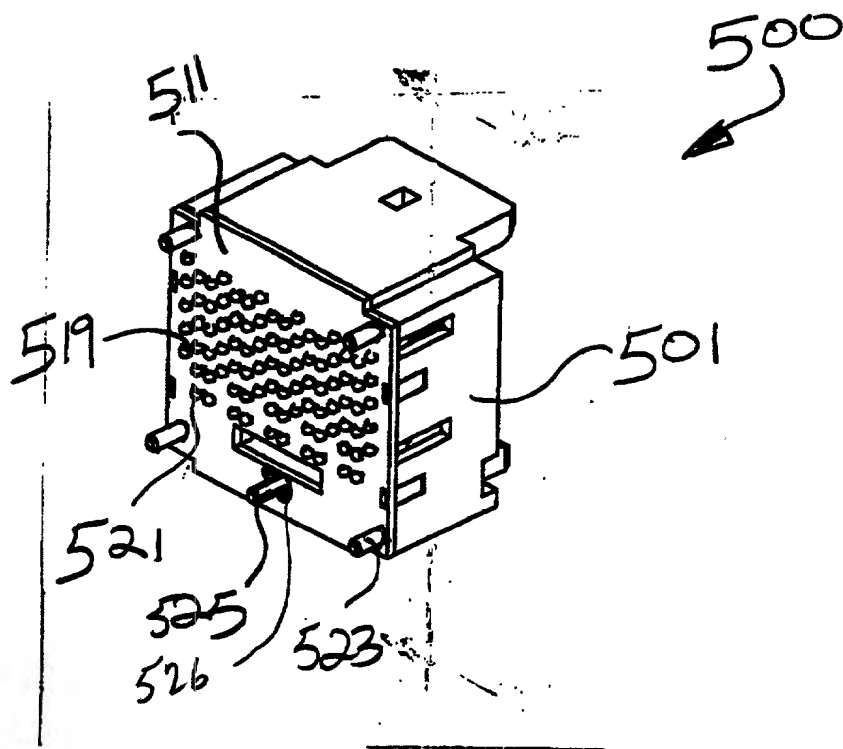


FIG. 6A

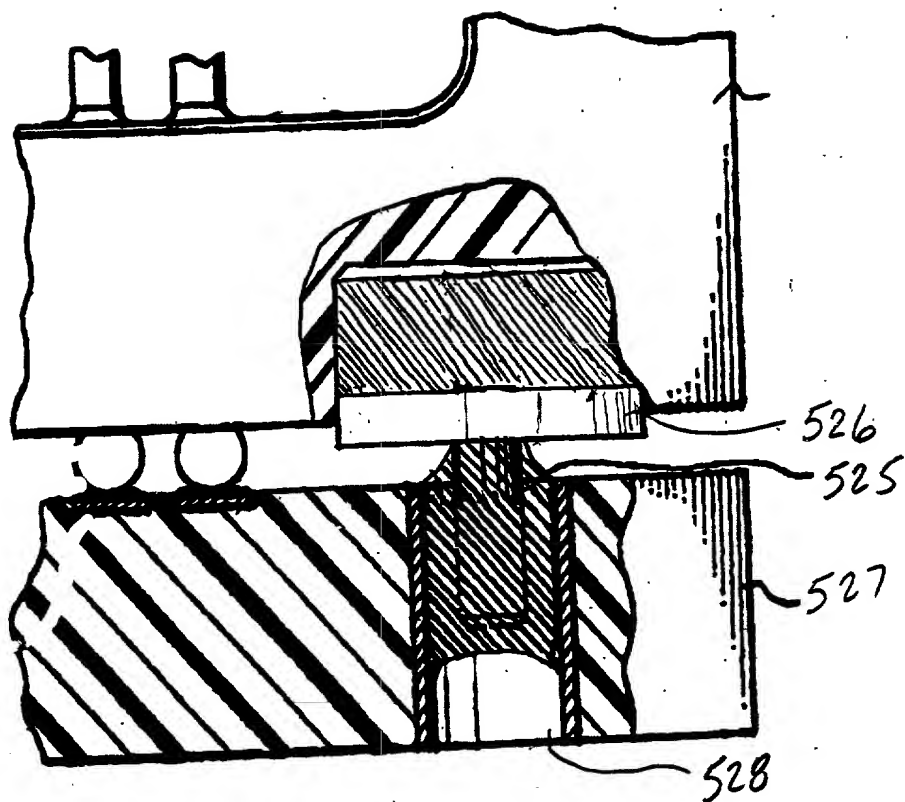


FIG. 6B

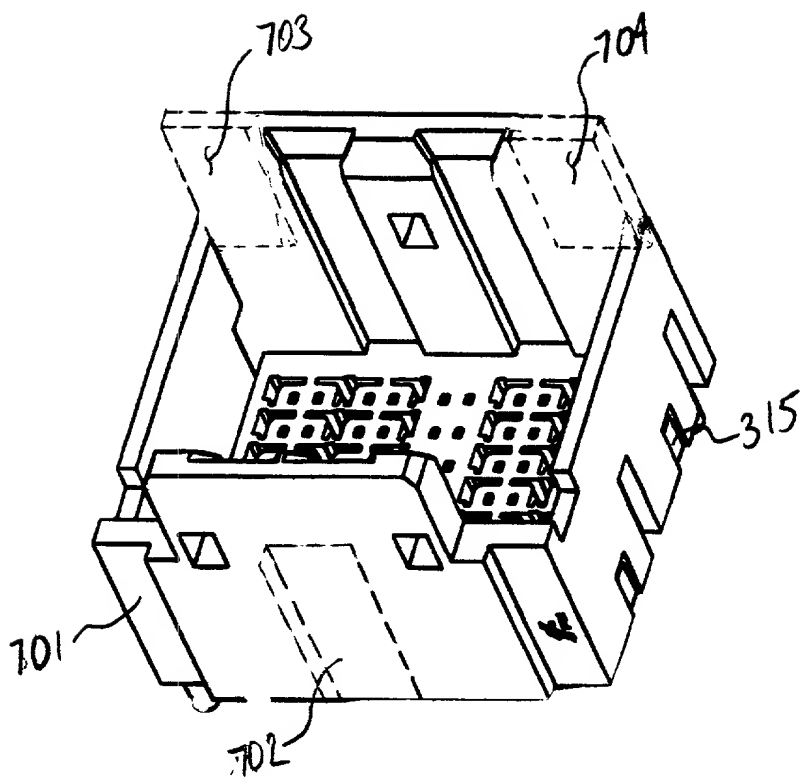


FIG. 7

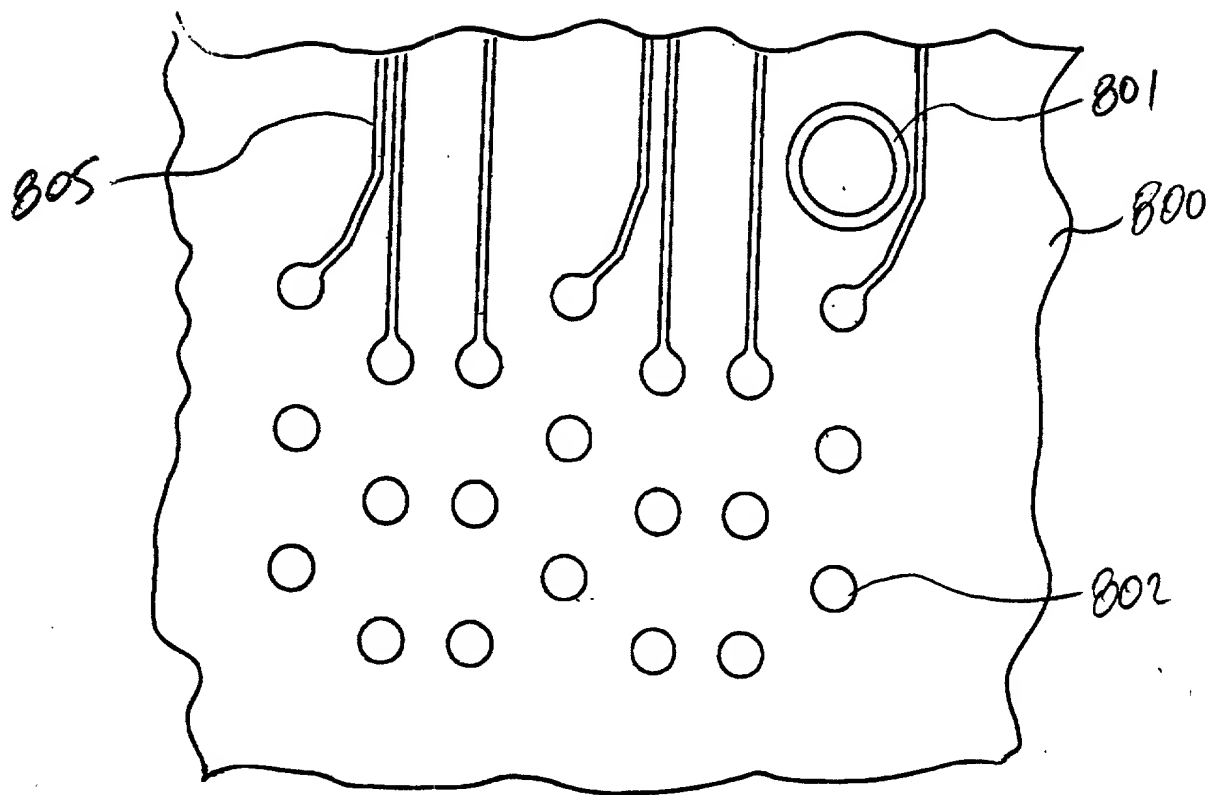


FIG. 8A

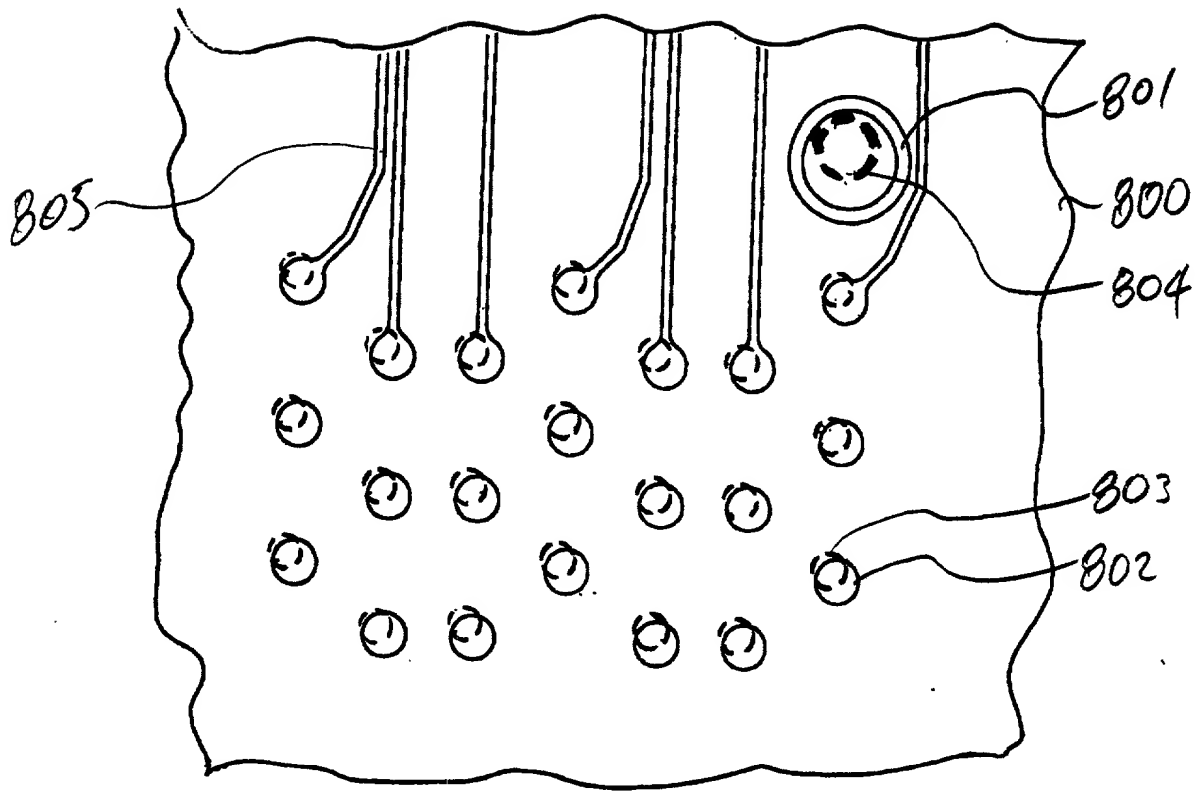


FIG. 8B

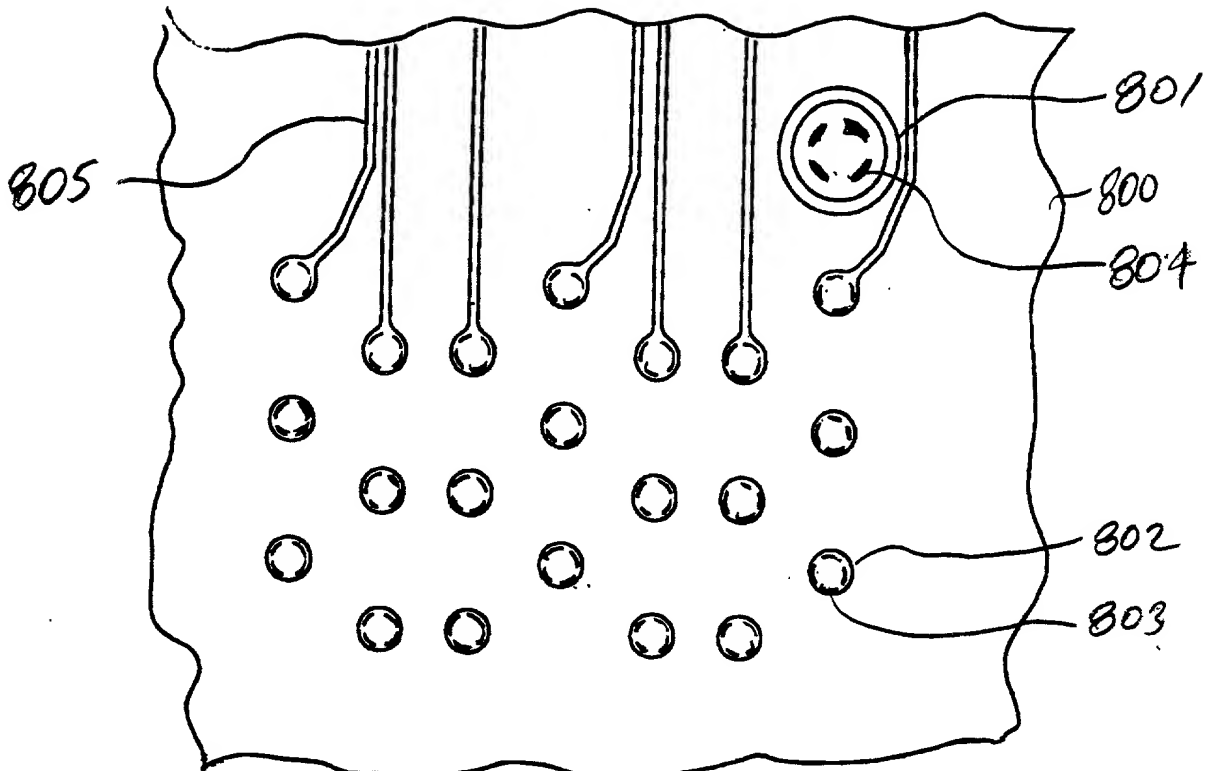


FIG. 8C

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Stanley W. Olson

Joseph B. Shuey

**Group Art Unit:**

Not Yet Assigned

**For: ELECTRICAL CONNECTOR WITH  
STRAIN RELIEF****Examiner:**

Not Yet Assigned

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a

☒ Utility Patent      ☐ Design Patent

is sought on the invention, whose title appears above, the specification of which:

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_ .  
☐ said application having been amended on \_\_\_\_\_ .

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a-d) of any **foreign application(s)** for patent or inventor's certificate listed below and have also identified below

any foreign application for patent or inventor's certificate having a filing date before that of any application on which priority is claimed:

Priority Claimed (If X'd)	Country	Serial Number	Date Filed
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number	Date Filed	Patented/Pending/Abandoned
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Serial Number	Date Filed
<u>60/160,482</u>	<u>October 19, 1999</u>



I hereby appoint the following persons as attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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<b>City/State of Actual Residence:</b> East Berlin PA	<b>Citizenship:</b> <u>U.S.A.</u>

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<b>City/State of Actual Residence:</b> Camp Hill, PA	<b>Citizenship:</b> <u>U.S.A.</u>